
FEDERAL DEPOSIT INSURANCE CORPORATION
WASHINGTON, DC 20429

FORM 8-K

CURRENT REPORT
PURSUANT TO SECTION 13 OR 15(d) OF THE
SECURITIES EXCHANGE ACT OF 1934

Date of Report (Date of earliest event reported):
May 16, 2018

OPUS BANK

(Exact name of registrant as specified in its charter)

California
(State or other jurisdiction of
incorporation)

33-0564430
(IRS Employer
Identification No.)

1990 MacArthur Blvd.,
12th Floor
Irvine, CA 92612
(Address, including zip code, of principal executive office)

Registrant's telephone number, including area code: (949) 250-9800

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communication pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 under the Securities Act (17 CFR 230.405) or Rule 12b-2 under the Exchange Act (17 CFR 240.12b-2).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

Item 7.01 Regulation FD Disclosure.

On May 16, 2018, Opus Bank, a California state-chartered bank (the “Bank”), issued a press release, which announced that Mr. Mark Kaeller has joined the Bank as Managing Director, Senior Client Manager.

A copy of the May 16, 2018, press release is included as Exhibit 99.1.

The information in this section, including the information contained in the press release included as Exhibit 99.1, is being furnished pursuant to this Item 7.01 and shall not be deemed to be “filed” for purposes of Section 18 of the Securities Exchange Act of 1934, as amended, or otherwise subject to the liabilities of that Section. In addition, this information shall not be deemed to be incorporated by reference into any of the Bank’s filings with the Federal Deposit Insurance Corporation, except as shall be expressly set forth by specific reference in any such filing.

Item 9.01 Financial Statements and Exhibits.

(d) Exhibits.

<u>Exhibit No.</u>	<u>Description</u>
99.1	Press Release, dated May 16, 2018, titled: Opus Bank Expands its Los Angeles Commercial Banking Team

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

Dated: May 16, 2018

Opus Bank

By: /s/ Stephen H. Gordon

Name: Stephen H. Gordon

Title: President and
Chief Executive Officer

Exhibit No. 99.1

Press Release, dated May 16, 2018, titled: Opus Bank Expands its Los Angeles Commercial Banking Team



OPUS BANK EXPANDS ITS LOS ANGELES COMMERCIAL BANKING TEAM

- Mark Kaeller Joins as Managing Director, Senior Client Manager -

IRVINE, Calif. – May 16, 2018 – [Opus Bank](#) (“Opus”) (NASDAQ: OPB) announced today that Mark Kaeller has joined Opus as Managing Director, Senior Client Manager. Mr. Kaeller, a 28-year banking veteran, is responsible for further expanding Opus’ commercial and corporate client base in the Los Angeles region.

Jim Haney, Executive Vice President, Head of Commercial Banking, stated, “We are proud to have Mark Kaeller join Opus’ Commercial Banking team in Los Angeles. Mark is a highly regarded banker in the region with deep ties to middle market companies and a wealth of experience delivering debt financing and treasury management and solutions.” Mr. Haney added, “We look forward to Mark’s contributions as Opus continues to grow its overall relationship-based commercial banking franchise.”

Mr. Kaeller joins Opus most recently from MUFJ Union Bank, where he served as Vice President, Senior Relationship Manager and was focused on building the bank’s middle market commercial banking business in downtown Los Angeles and the surrounding markets. From 2014 to 2016, Mr. Kaeller served as Vice President, Senior Relationship Manager at ProAmerica Bank, where he focused on developing new client relationships with lower middle market companies and originating commercial loans and working capital lines of credit, as well as non-owner-occupied commercial real estate debt transactions. From 2013 to 2014, Mr. Kaeller served as Vice President, Senior Relationship Manager at JPMorgan Chase, where he focused on building client relationships with small businesses and lower middle market companies. From 2003 to 2013, Mr. Kaeller served as Vice President, Relationship Manager at Bank of America, where he managed and developed client relationships with middle market companies, with a focus on commercial loans and lines of credit, foreign exchange transactions, and treasury management services. Mr. Kaeller holds a Bachelor of Science degree in Psychobiology from the University of California, Los Angeles.

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About Opus Bank

Opus Bank is an FDIC insured California-chartered commercial bank with \$7.3 billion of total assets, \$5.2 billion of total loans, and \$6.0 billion in total deposits as of March 31, 2018. Opus Bank provides superior ideas and solutions, and banking products to its clients through its Retail Bank, Commercial Bank, and Merchant Bank. Opus Bank offers a suite of treasury and cash management and depository solutions and a wide range of loan products, including commercial, healthcare, media and entertainment, corporate finance, multifamily residential, commercial real estate and structured finance, and is an SBA preferred lender. Opus Bank offers commercial escrow services and facilitates 1031 Exchange transactions through its Escrow and Exchange divisions. Opus Bank provides clients with financial and advisory services related to raising equity capital, targeted acquisition and divestiture strategies, general mergers and acquisitions, debt and equity financing, balance sheet restructuring, valuation, strategy and performance improvement through its Merchant Banking division and its broker-dealer subsidiary, Opus Financial Partners, LLC, Member FINRA/SIPC. Opus Bank’s alternative asset IRA custodian subsidiary has over \$16 billion of custodial assets and over 50,000 client accounts, which are comprised of self-directed investors, financial institutions, capital raisers and financial advisors. Opus Bank operates 50 banking offices, including 31 in California, 16 in the Seattle/Puget Sound region in Washington, two in the Phoenix metropolitan area of Arizona and one



in Portland, Oregon. Opus Bank is an Equal Housing Lender. For additional information about Opus Bank, please visit our website: www.opusbank.com.

Forward-Looking Statements

This release may include forward-looking statements related to Opus' plans, beliefs and goals, which involve certain risks, and uncertainties that could cause actual results to differ materially from those in the forward-looking statements. The forward-looking information presented in this press release is not a guarantee of future events, and actual events may differ materially from those made in or suggested by the forward-looking information contained in this press release. Forward-looking statements generally can be identified by the use of forward-looking terminology such as "intend" or "expect" or variations thereon or similar terminology. All such statements speak only as of the date made, and Opus undertakes no obligation to update or revise publicly any forward-looking statements, whether as a result of new information, future events or otherwise.

Contact:

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SVP, Dir. of Corporate Strategy/Communications
Telephone: (949) 251-8146

Source: Opus Bank