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**FEDERAL DEPOSIT INSURANCE CORPORATION**  
**WASHINGTON, DC 20429**

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**FORM 8-K**

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**CURRENT REPORT**  
**PURSUANT TO SECTION 13 OR 15(d) OF THE**  
**SECURITIES EXCHANGE ACT OF 1934**

Date of Report (Date of earliest event reported):  
May 31, 2018

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**OPUS BANK**

(Exact name of registrant as specified in its charter)

**California**  
(State or other jurisdiction of  
incorporation)

**33-0564430**  
(IRS Employer  
Identification No.)

**1990 MacArthur Blvd.,**  
**12<sup>th</sup> Floor**  
**Irvine, CA 92612**  
(Address, including zip code, of principal executive office)

**Registrant's telephone number, including area code: (949) 250-9800**

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communication pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 under the Securities Act (17 CFR 230.405) or Rule 12b-2 under the Exchange Act (17 CFR 240.12b-2).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

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**Item 7.01 Regulation FD Disclosure.**

On May 31, 2018, Opus Bank, a California state-chartered bank (the “Bank”), issued a press release, which announced that Mr. Alfonso Castell De’Oro has joined the Bank as Managing Director, Senior Client Manager.

A copy of the May 31, 2018, press release is included as Exhibit 99.1.

The information in this section, including the information contained in the press release included as Exhibit 99.1, is being furnished pursuant to this Item 7.01 and shall not be deemed to be “filed” for purposes of Section 18 of the Securities Exchange Act of 1934, as amended, or otherwise subject to the liabilities of that Section. In addition, this information shall not be deemed to be incorporated by reference into any of the Bank’s filings with the Federal Deposit Insurance Corporation, except as shall be expressly set forth by specific reference in any such filing.

**Item 9.01 Financial Statements and Exhibits.**

*(d) Exhibits.*

<u>Exhibit No.</u>	<u>Description</u>
99.1	Press Release, dated May 31, 2018, titled: Opus Bank Expands Its Southern California Commercial Banking Team

## **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

Dated: May 31, 2018

Opus Bank

By: /s/ Stephen H. Gordon  
Name: Stephen H. Gordon  
Title: President and  
Chief Executive Officer

**Exhibit No. 99.1**

**Press Release, dated May 31, 2018, titled: Opus Bank Expands Its Southern California Commercial Banking Team**



## **OPUS BANK EXPANDS ITS SOUTHERN CALIFORNIA COMMERCIAL BANKING TEAM**

### **- Alfonso Castell De'Oro Joins as Managing Director, Senior Client Manager -**

IRVINE, Calif. – May 31, 2018 – [Opus Bank](#) (“Opus”) (NASDAQ: OPB) announced today that Alfonso Castell De'Oro, a 21-year banking veteran, has joined Opus as Managing Director, Senior Client Manager, and is responsible for expanding Opus' commercial and corporate client base in the Inland Empire region of California.

Jim Haney, Executive Vice President, Head of Commercial Banking, stated, “We are proud to have Alfonso join Opus' Commercial Banking team in the Inland Empire. Alfonso possesses a tremendous depth of experience providing commercial banking products, services, and solutions to lower middle market corporations and small businesses.” Mr. Haney added, “We look forward to Alfonso's contributions as Opus continues to grow its loan origination volumes, deposit base, market presence, and overall relationship-based commercial banking franchise in the Inland Empire and other metropolitan markets on the West Coast.”

Mr. Castell De'Oro joins Opus most recently from Bank of America Merrill Lynch, where from 2011 he served as Vice President, Commercial Relationship Manager. While at Bank of America, Mr. Castell De'Oro was responsible for growing and managing a portfolio of lower middle market commercial clients in the Inland Empire region of Southern California. From 2009 to 2011, Mr. Castell De'Oro served as Vice President, Commercial Relationship Manager at Community Bank, where he focused on developing depository and commercial lending relationships as well as commercial real estate, C&I, and SBA lending opportunities with small businesses and lower middle market companies. From 2008 to 2009, Mr. Castell De'Oro served as Vice President, Commercial Relationship Manager at Chase Bank, where he focused on developing depository and lending relationships with small businesses. From 2006 to 2008, Mr. Castell De'Oro served as Vice President, Commercial Loan Officer at Wells Fargo Bank, where he was responsible for growing and managing a portfolio of lower middle market companies and small businesses. Mr. Castell De'Oro began his banking career at Wells Fargo in 1997.

### **Connect with Opus Bank**

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### **About Opus Bank**

Opus Bank is an FDIC insured California-chartered commercial bank with \$7.3 billion of total assets, \$5.2 billion of total loans, and \$6.0 billion in total deposits as of March 31, 2018. Opus Bank provides superior ideas and solutions, and banking products to its clients through its Retail Bank, Commercial Bank, and Merchant Bank. Opus Bank offers a suite of treasury and cash management and depository solutions and a wide range of loan products, including commercial, healthcare, media and entertainment, corporate finance, multifamily residential, commercial real estate and structured finance, and is an SBA preferred lender. Opus Bank offers commercial escrow services and facilitates 1031 Exchange transactions through its Escrow and Exchange divisions. Opus Bank provides clients with financial and advisory services related to raising equity capital, targeted acquisition and divestiture strategies, general mergers and acquisitions, debt and equity financing, balance sheet restructuring, valuation, strategy and performance improvement through its Merchant Banking division and its broker-dealer subsidiary, Opus Financial Partners, LLC, Member FINRA/SIPC. Opus Bank's alternative asset IRA custodian subsidiary has over \$16 billion of custodial assets and over 50,000 client accounts, which are comprised of self-directed investors, financial institutions, capital raisers and financial advisors. Opus Bank operates 50 banking offices, including 31 in California, 16 in the



Seattle/Puget Sound region in Washington, two in the Phoenix metropolitan area of Arizona and one in Portland, Oregon. Opus Bank is an Equal Housing Lender. For additional information about Opus Bank, please visit our website: [www.opusbank.com](http://www.opusbank.com).

### **Forward-Looking Statements**

This release may include forward-looking statements related to Opus' plans, beliefs and goals, which involve certain risks, and uncertainties that could cause actual results to differ materially from those in the forward-looking statements. The forward-looking information presented in this press release is not a guarantee of future events, and actual events may differ materially from those made in or suggested by the forward-looking information contained in this press release. Forward-looking statements generally can be identified by the use of forward-looking terminology such as "intend" or "expect" or variations thereon or similar terminology. All such statements speak only as of the date made, and Opus undertakes no obligation to update or revise publicly any forward-looking statements, whether as a result of new information, future events or otherwise.

### **Contact:**

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Source: Opus Bank