
FEDERAL DEPOSIT INSURANCE CORPORATION
WASHINGTON, DC 20429

FORM 8-K

CURRENT REPORT
PURSUANT TO SECTION 13 OR 15(d) OF THE
SECURITIES EXCHANGE ACT OF 1934

Date of Report (Date of earliest event reported):
July 5, 2018 (July 3, 2018)

OPUS BANK

(Exact name of registrant as specified in its charter)

California
(State or other jurisdiction of
incorporation)

33-0564430
(IRS Employer
Identification No.)

1990 MacArthur Blvd.,
12th Floor
Irvine, CA 92612
(Address, including zip code, of principal executive office)

Registrant's telephone number, including area code: (949) 250-9800

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communication pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 under the Securities Act (17 CFR 230.405) or Rule 12b-2 under the Exchange Act (17 CFR 240.12b-2).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

Item 7.01 Regulation FD Disclosure.

On July 3, 2018, Opus Bank, a California state-chartered bank (the “Bank”), issued a press release, which announced that Mr. Jeffrey A. Heisinger has joined the Bank as Senior Managing Director – Commercial Banking.

A copy of the July 3, 2018, press release is included as Exhibit 99.1.

The information in this section, including the information contained in the press release included as Exhibit 99.1, is being furnished pursuant to this Item 7.01 and shall not be deemed to be “filed” for purposes of Section 18 of the Securities Exchange Act of 1934, as amended, or otherwise subject to the liabilities of that Section. In addition, this information shall not be deemed to be incorporated by reference into any of the Bank’s filings with the Federal Deposit Insurance Corporation, except as shall be expressly set forth by specific reference in any such filing.

Item 9.01 Financial Statements and Exhibits.

(d) Exhibits.

<u>Exhibit No.</u>	<u>Description</u>
99.1	Press Release, dated July 3, 2018, titled: Opus Bank Further Expands its Southern California Commercial Banking Team

Exhibit No. 99.1

Press Release, dated July 3, 2018, titled: Opus Bank Further Expands its Southern California Commercial Banking Team



OPUS BANK FURTHER EXPANDS ITS SOUTHERN CALIFORNIA COMMERCIAL BANKING TEAM

- Jeffrey A. Heisinger Joins as Senior Managing Director – Commercial Banking -

IRVINE, Calif. – July 3, 2018 – [Opus Bank](#) (“Opus”) (NASDAQ: OPB) announced today that Jeffrey Heisinger has joined Opus as Senior Managing Director – Commercial Banking. Mr. Heisinger, a 22-year banking veteran, is responsible for expanding Opus’ commercial and corporate client base in the Los Angeles coastal area.

Jim Haney, Executive Vice President, Head of Commercial Banking, stated, “Jeff is a highly respected and experienced banker with a long history of considerable success in the Los Angeles metropolitan region. He brings a wealth of experience in structuring, originating, and underwriting asset-based, working capital, commercial real estate, and syndicated transactions, as well as developing credit and treasury management solutions for middle-market companies, entrepreneurs, and business owners.” Mr. Haney added, “We look forward to Jeff’s contributions as we expand our Southern California Commercial Banking coverage in the vibrant and diverse Santa Monica, Ventura County, and San Fernando Valley markets.”

Stephen H. Gordon, Chief Executive Officer and President of Opus Bank, stated, “We are pleased with the strategic success and initial velocity we are experiencing in our commitment to bolster our Commercial Banking team in Los Angeles County, the second most populous county in the U.S. The opportunistic hire of a banker of Jeff Heisinger’s caliber is a tremendous advancement as we continue to grow our relationship-based commercial client base in the major metropolitan markets up and down the West Coast.”

Mr. Heisinger joins Opus Bank most recently from City National Bank, where from 2016 he served as Senior Vice President and Manager of the San Fernando Valley Commercial Banking office and developed and managed one of the bank’s largest commercial banking offices and a significant relationship-based portfolio of real estate, working capital lines of credit, syndicated leveraged transactions, and asset based relationships. From 2010 to 2016, Mr. Heisinger served with U.S. Bank as Senior Vice President, Senior Relationship Manager and was focused on serving middle market companies in its North Los Angeles region and managed one of U.S. Bank’s larger revenue producing portfolios, consisting of working capital lines of credit, asset based loans, commercial real estate, and senior debt transactions. During his tenure with U.S. Bank, Mr. Heisinger ranked as one of the bank’s top revenue producers nationally for 2012, 2013, and 2014. From 2006 to 2010, Mr. Heisinger served in Senior Business Development and Senior Relationship Manager roles at City National Bank, Wells Fargo Bank, and La Salle Bank, where he was responsible for managing and growing portfolios of middle market commercial clients in the Greater Los Angeles area. From 1997 to 2006, Mr. Heisinger served with Wells Fargo Bank, most recently as Vice President/Regional Sales Manager, and was responsible for leading a team of Business Development Officers in the Western United States. Mr. Heisinger’s earlier roles at Wells Fargo Bank included Business Development and Portfolio Management positions, in both roles focused on Asset Based Lending. Mr. Heisinger began his banking career in 1996 as an Associate at Norwest Bank in its Corporate Credit Training program, prior to the bank’s acquisition by Wells Fargo. He holds a Bachelor of Science in Business Administration from the University of Nebraska at Omaha, and a Masters of Business Administration from the Carlson School of Management at the University of Minnesota.

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About Opus Bank

Opus Bank is an FDIC insured California-chartered commercial bank with \$7.3 billion of total assets, \$5.2 billion of total loans, and \$6.0 billion in total deposits as of March 31, 2018. Opus Bank provides superior ideas and solutions, and banking products to its clients through its Retail Bank, Commercial Bank, and Merchant Bank. Opus Bank offers a suite of treasury and cash management and depository solutions and a wide range of loan products, including commercial, healthcare, media and entertainment, corporate finance, multifamily residential, commercial real estate and structured finance, and is an SBA preferred lender. Opus Bank offers commercial escrow services and facilitates 1031 Exchange transactions through its Escrow and Exchange divisions. Opus Bank provides clients with financial and advisory services related to raising equity capital, targeted acquisition and divestiture strategies, general mergers and acquisitions, debt and equity financing, balance sheet restructuring, valuation, strategy and performance improvement through its Merchant Banking division and its broker-dealer subsidiary, Opus Financial Partners, LLC, Member FINRA/SIPC. Opus Bank's alternative asset IRA custodian subsidiary has over \$16 billion of custodial assets and over 50,000 client accounts, which are comprised of self-directed investors, financial institutions, capital raisers and financial advisors. Opus Bank operates 50 banking offices, including 31 in California, 16 in the Seattle/Puget Sound region in Washington, two in the Phoenix metropolitan area of Arizona and one in Portland, Oregon. Opus Bank is an Equal Housing Lender. For additional information about Opus Bank, please visit our website: www.opusbank.com.

Forward-Looking Statements

This release may include forward-looking statements related to Opus' plans, beliefs and goals, which involve certain risks, and uncertainties that could cause actual results to differ materially from those in the forward-looking statements. The forward-looking information presented in this press release is not a guarantee of future events, and actual events may differ materially from those made in or suggested by the forward-looking information contained in this press release. Forward-looking statements generally can be identified by the use of forward-looking terminology such as "intend" or "expect" or variations thereon or similar terminology. All such statements speak only as of the date made, and Opus undertakes no obligation to update or revise publicly any forward-looking statements, whether as a result of new information, future events or otherwise.

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Source: Opus Bank