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**FEDERAL DEPOSIT INSURANCE CORPORATION**  
**WASHINGTON, DC 20429**

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**FORM 8-K**

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**CURRENT REPORT**  
**PURSUANT TO SECTION 13 OR 15(d) OF THE**  
**SECURITIES EXCHANGE ACT OF 1934**

Date of Report (Date of earliest event reported):  
November 15, 2018

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**OPUS BANK**

(Exact name of registrant as specified in its charter)

**California**  
(State or other jurisdiction of  
incorporation)

**33-0564430**  
(IRS Employer  
Identification No.)

**1990 MacArthur Blvd.,**  
**12<sup>th</sup> Floor**  
**Irvine, CA 92612**  
(Address, including zip code, of principal executive office)

**Registrant's telephone number, including area code: (949) 250-9800**

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communication pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 under the Securities Act (17 CFR 230.405) or Rule 12b-2 under the Exchange Act (17 CFR 240.12b-2).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

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**Item 7.01 Regulation FD Disclosure**

On November 15, 2018, Opus Bank, a California state-chartered bank (the “Bank”), issued a press release announcing 20 grant awards provided by the Opus Community Foundation to nonprofit organizations in Arizona, California, and Washington.

A copy of the November 15, 2018 press release is included as Exhibit 99.1 hereto.

The information furnished under Item 7.01 and Item 9.01 of this Current Report on Form 8-K, including the exhibits, shall not be deemed “filed” for purposes of Section 18 of the Securities Exchange Act of 1934, as amended, or otherwise subject to liabilities under that Section, nor shall it be deemed incorporated by reference in any registration statement or other filings of the Bank with the Federal Deposit Insurance Corporation, except as shall be set forth by specific reference in such filing.

**Item 9.01 Financial Statements and Exhibits.**

*(d) Exhibits.*

<u>Exhibit No.</u>	<u>Description</u>
99.1	Press Release, dated November 15, 2018, titled: Opus Community Foundation Announces 20 Grant Awards to Charitable Organizations in Arizona, California, and Washington

## **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

Dated: November 15, 2018

Opus Bank

By: /s/ Stephen H. Gordon

Name: Stephen H. Gordon

Title: President and  
Chief Executive Officer

**Exhibit No. 99.1**

**Press Release, dated November 15, 2018, titled: Opus Community Foundation Announces 20 Grant Awards to Charitable Organizations in Arizona, California, and Washington**



## OPUS COMMUNITY FOUNDATION ANNOUNCES 20 GRANT AWARDS TO CHARITABLE ORGANIZATIONS IN ARIZONA, CALIFORNIA, AND WASHINGTON

IRVINE, Calif. – November 15, 2018 – [Opus Bank](#) (“Opus”) (NASDAQ: OPB) and the [Opus Community Foundation](#) (the “Foundation”) announced today the Foundation’s approval of 20 grant awards to charitable organizations that Opus and the Foundation believe will strengthen and engage the communities that Opus serves.

The grants were awarded to nonprofit organizations in Arizona, California, and Washington that focus on affordable housing, education, financial literacy, community health services, work readiness programs, and the arts.

Arizona:

[Arizona Council on Economic Education](#) received a grant to support its Financial Fitness for Life program, which empowers teachers, guides parents, and engages students from low-income families in the learning of essential financial literacy skills.

[St. Joseph the Worker](#) received a grant for its Employment Heals program, which provides access to job readiness support and services including interview skills training, resume development, professional presentation and communication etiquette courses, access to transportation, and financial assistance to unemployed or under-employed individuals in the Phoenix metropolitan region.

[VALLEYLIFE](#) received a grant to support its workforce development programs, which provide job placement, retention, and support services that increase successful employment outcomes for adults with disabilities, mental illness, or other significant barriers to employment.

California:

[Bay Area Financial Education Foundation](#) received a grant to support a hands-on workshop for high school economic teachers, which will provide training for classroom activities, access to resources, as well as textbooks and other materials.

[California Council on Economic Education](#) received a grant to support its economic and personal finance educational programs, which integrate economic and financial literacy into K - 12 classrooms by providing online programming and mentors to students, and training, curriculum, and resources to teachers.

[CAMEO](#) received a grant to support its programs, which are designed to scale up the volume of microlending in California and significantly increase access to needed capital for microbusinesses in under-served, low- and moderate-income regions of the state.

[CDC Small Business Finance of San Diego](#) received a grant to support its programs, which provide small business owners access to business advisory services and capital, which stimulate economic development in low-income communities.

[Foundation of Los Angeles Community Colleges](#) received a grant to support its financial literacy programs, which teach healthy financial habits, improve students’ circumstances, and reduce barriers that may keep low-income students from completing their education.



[Inland Empire Small Business Development Center](#) received a grant to support its programs, which provide no-cost financing, business growth, and job creation advisory services to existing and start-up small businesses throughout Riverside and San Bernardino Counties.

[Junior Achievement of Northern California](#) received a grant to support its programs, which promote financial literacy, entrepreneurship, and work readiness through a series of business, economics, and life skills classes for students in kindergarten through 12<sup>th</sup> grade.

[Mary Erickson Community Housing](#) received a grant to support its programs, which are focused on the acquisition, development, redevelopment, and management of affordable housing in Orange, Riverside, and San Bernardino Counties.

[Neighborhood Partnership Housing Services, Inc.](#) received a grant for its programs, which build stronger communities through innovative housing and economic solutions that advance the well-being of people, vitality of neighborhoods, creation of jobs, and sustainability of the environment.

[Opportunity Fund](#) received a grant to support its programs, which advance the economic well-being of diverse small business owners through increased access to responsible credit and business advising, allowing small business owners to earn, save, and invest in their future.

[Orangewood Children's Foundation](#) received a grant to support its scholarship program, which provides financial support to recently emancipated foster youth attending community colleges, trade schools, and four-year universities.

[Trinity Youth Services](#) received a grant to support its Independence YOU program, which helps disenfranchised foster youth between the ages of 18 and 21 become fully independent and contributing members of society by providing mentoring opportunities, access to behavioral and mental health services, employment assistance, continuing education, and life skills training.

[VIA Education Foundation](#) received a grant to support its Connecting to Success program, which prepares students for the workforce through financial literacy education, interview skills development, resume building, and business ethics courses.

[Workforce Development Board of Contra Costa](#) received a grant to support its programs, which deliver small business advising and training to empower entrepreneurial low- to moderate-income individuals to realize their dreams of business success and stimulate economic prosperity in Contra Costa County.

Washington:

[Banchero Disability Partners](#) received a grant to support its programs, which help adults with developmental disabilities to excel in all areas of life through assistance with daily living activities, maintaining public benefits, obtaining medical and mental health care, and finding success in school, jobs, and volunteer experiences.

[Craft3](#) received a grant to support its programs, which target the delivery of capital and assistance to disadvantaged small businesses in King County as a proactive tool for change, integrating businesses and institutions seeking to create resilient communities.



[Home Trust of Skagit](#) received a grant to support its programs, which are focused on the acquisition, development, redevelopment, and management of affordable housing in Skagit County.

### **Opus Community Foundation**

The Foundation is a private 501(c)(3) organization established by Opus Bank on September 30, 2010. The Foundation targets its philanthropic efforts in the areas of affordable housing, community development, education, financial literacy, community health services, and the arts. The Foundation's goal is to stimulate economic change, promote reinvestment, inspire philanthropy, and strengthen the communities around us. For additional information about the Opus Community Foundation, please visit the Foundation's website: [www.opuscf.org](http://www.opuscf.org).

### **Connect with Opus Bank**

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### **About Opus Bank**

Opus Bank is an FDIC insured California-chartered commercial bank with \$7.4 billion of total assets, \$5.2 billion of total loans, and \$6.1 billion in total deposits as of September 30, 2018. Opus Bank provides superior ideas and solutions, and banking products to its clients through its Retail Bank, Commercial Bank, and Merchant Bank. Opus Bank offers a suite of treasury and cash management and depository solutions and a wide range of loan products, including commercial, healthcare, media and entertainment, corporate finance, multifamily residential, commercial real estate and structured finance, and is an SBA preferred lender. Opus Bank offers commercial escrow services and facilitates 1031 Exchange transactions through its Escrow and Exchange divisions. Opus Bank provides clients with financial and advisory services related to raising equity capital, targeted acquisition and divestiture strategies, general mergers and acquisitions, debt and equity financing, balance sheet restructuring, valuation, strategy and performance improvement through its Merchant Banking division and its broker-dealer subsidiary, Opus Financial Partners, LLC, Member FINRA/SIPC. Opus Bank's alternative asset IRA custodian subsidiary has approximately \$14 billion of custodial assets and approximately 49,000 client accounts, which are comprised of self-directed investors, financial institutions, capital raisers and financial advisors. Opus Bank operates 48 banking offices, including 29 in California, 16 in the Seattle/Puget Sound region in Washington, two in the Phoenix metropolitan area of Arizona and one in Portland, Oregon. Opus Bank is an Equal Housing Lender. For additional information about Opus Bank, please visit our website: [www.opusbank.com](http://www.opusbank.com).

### **Contacts:**

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Source: Opus Bank

